

Status Definitions

Special Note: All applications are handled in line, based on receipt date of the application and must be reviewed for accuracy, completeness, and conformance to the applicable Rule Part(s). Interim contacts with the Laboratory staff will slow the process, and may hinder the issuance of grants as time must be redirected to answer inquiries. Every effort is being made to handle applications in an expeditious manner.

Administrative information requested - Additional and/or amended administrative information has been requested by letter or telephone call. In some cases, the application may have been returned to the applicant under Section 1.1107(d) of the Rules for correction. The application will continue to be processed, however the application review cannot be completed and no grant issued, until the requested information is received.

Administrative review - Application is under review by an applications examiner for administrative accuracy and completeness.

Application Denied - This application has been denied for reasons specified by letter to the applicant. If an application for this equipment is resubmitted, authorization must be requested under a new FCC Identifier (FCC ID).

Application Dismissed - This application has been dismissed for reasons specified by letter to the applicant. The application will generally also be returned to the applicant, who may correct and resubmit the application with the appropriate fee to the Commission at the Washington, DC Fee address.

Awaiting typing - A request for additional information or permissive change/modification letter has been forwarded for typing. Under normal circumstances the letter will be mailed from the FCC Laboratory within one week of the status date.

Deferred - Review of the application has been deferred for agency reasons, or at the request of the applicant. When deferred by the agency the applicant is informed of the reasons for deferring, normally within one week of the status date. When a request for deferred grant is made

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by the applicant, deferred status indicates that review has been completed and the authorization will be issued on the date requested.

Grant issued - A Grant of Equipment Authorization has been issued. The grant must be reviewed for accuracy and initialed by an examiner or engineer before mailing. Please allow 2-3 weeks for receipt of grant. Marketing of this product can proceed as of the date of this grant.

Grant reissued - The grant required correction or the applicant did not receive the grant and a duplicate was issued.

Grant set aside - Under the provisions of Section 1.113, any authorization can be set aside within one month of the date of the grant for reasons listed in the stated Rule Section. When an equipment authorization has been set aside, the application returns to pending status and is subject to request for additional information, dismissal or denial.

Grant surrendered - The grant issued has been surrendered by the grantee. Marketing of the equipment under the specific FCC ID after the date specified is illegal.

Logged in - Application has been logged into the equipment authorization data base. Log-in is normally accomplished within two days of the receipt of the application at the FCC Laboratory from the Fee Section. For more immediate confirmation of receipt of an application, return receipt requested submission is recommended.

Modification approved - A request for modification to this equipment has been approved and a letter or grant has been issued.

Permissive change approved - A request for a Class II permissive change has been approved and a letter or grant has been issued. A grant will be issued if an item on the original grant is changed as a result of this request.

Recommended for grant - This application has been approved and a grant will be issued. If this device requires more than one authorization procedure, (i.e., transceiver with the same FCC ID assigned to the transmitter and receiver) a grant will not be issued until all authorization procedures are approved. Marketing may not proceed until a grant is issued.

Sample received - requested sample has been received at the FCC Laboratory.

Sample requested - A letter requesting submittal of a sample has been sent. Review of the application cannot be completed until the sample is received. The sample will be tested to determine compliance of the equipment with the applicable Rule Part(s).

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Technical information requested - Additional technical information has been requested by letter or telephone call. No further processing will be done pending receipt of the information.

Technical review - Application has been forwarded to an engineer for review. Review will not be completed for approximately 3 - 4 weeks after the listed status date. Upon completion of review, a request for additional information, request for an equipment sample, a grant of equipment authorization, letter of denial or letter of dismissal will be mailed.

Equipment Classification Definitions

Anti-Pilferage Device - (Part 15, Subpart F)

Auditory Assistance Device (Transmitter) - (Part 15, Subpart G)

Automatic Vehicle Identification System - (Part 15, Subpart E)

Broadcast Transmitter - (Part 73 and 74)

Cable Systems Terminal Device - (Part 15)

Class B Computing Device/Personal Computer - (Part 15, Subpart J)

Class B Computing Device Peripheral - (Part 15, Subpart J)

Communication Receiver - (Part 15, Subpart C) All receivers used in the communications field such as marine receivers, aircraft receivers, amateur receivers, paging receivers and CB receivers not otherwise classified as a multiband receiver.

Compulsory Ship Equipment - (Part 80) Equipment such as radio telephone alarm signal generators, watch receivers (2181.0 kHz), radio telegraph main and reserve transmitter, auto alarm, auto alarm signal keyer and lifeboat transceiver.

Consumer Device (Certified) - (Part 18) Part 18 certified devices such as induction cooking ranges.

Consumer Device (Certified, previously Type Approved) - (Part 18)
Basically microwave ovens (not industrial ovens) and consumer ultrasonic equipment.

Cordless Base Transceiver - (Part 15, Subpart E) Base transceiver used only

for cordless telephone systems.

Cordless Remote Transceiver - (Part 15, Subpart E) Remote transceiver used only for cordless telephone systems.

Cordless Telephone System - (Part 15, Subpart E) Cordless telephone systems consisting of both hand-held and base station transceivers.

EBS Decoder - (Part 73)

Field Disturbance Sensor - (Part 15, Subpart F)

FM Mono Modulation Monitor - (Part 73)

FM SCA Modulation Monitor - (Part 73)

FM Stereo Modulation Monitor - (Part 73)

FM Stereo Modulation Monitor with SCA - (Part 73)

Industrial Device (Verified, previously Certified) - (Part 18) Industrial devices such as prototype heaters.

Industrial Device (Verified, previously Type Approved) - (Part 18) Devices such as welders, industrial ultrasonics and other industrial equipment normally included in Part 18.

Low Power Communication Device Receiver - (Part 15, Subpart C) Includes devices presently known as auditory training receivers, door opener receivers, biomedical receivers, security alarm receivers, walkie-talkie receivers, wireless microphone receivers and telemetry receivers.

Low Power Communication Device Transceiver - (Part 15, Subpart D) Walkie-talkies except those regulated as a low power transmitter below 1600 Khz.

Low Power Communication Device Transmitter - (Part 15, Subpart D) Describes transmitters such as remote control transmitters, portable telephone transmitters, etc. Does not include low power transmitters below 1600 kHz.

Low Power Transmitter Below 1600 kHz - (Part 15, Subpart D)

Marine Radar - (Part 80)

Medical Device (Verified, previously Certified) - (Part 18) medical devices such as prototype diathermy.

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Medical Device (Verified, previously Type Approved) - (Part 18) Devices such as medical diathermy equipment, epilators, medical ultrasonics and others.

Multiband Receiver - (Part 15, Subpart C) Receivers that tune SW (Shortwave) not related to AM/FM, FM or TV broadcasting.

Non-Broadcast Transmitter - (Part 5,21,22,78,80,87,90,94,95,97)

Public Broadcast Receiver - (Part 15, Subpart C) Weather band and cable receivers that receive public broadcast material on other frequencies within the cable context.

Scanning Receiver - (Part 15, Subpart C)

Security/Remote Control Transmitter - (Part 15, Subpart D)

Specific Low Power Communications Device (Certified) - (Part 15, Subpart E) Devices such as FM wireless microphones, door opener transmitters, telemetry transmitters and devices that measure the characteristics of materials.

Specific Low Power Communications Device (Certified) - (Part 15, Subpart E) Previously Type approved Part 15 devices such as wireless microphones and telemetry devices.

Spread Spectrum Transmitter - (Part 15, Subpart D)

Superregenerative Receiver - (Part 15, Subpart C) All receivers of this type no matter what frequency range it tunes.

TV Aural Modulation Monitor - (Part 73)

TV Interface Device - (Part 15, Subpart H)

Data Element Definitions

(Based on the July 1989 edition of FCC Form 731 - OMB expiration date, 4/30/92)

Application Date - Date of Applicant Certification by authorized signature on Form 731.

Emissions Designators - Equipment specifications as stated in Item 7, Form 731. Pertains only to type accepted equipment, and notified equipment regulated under the type acceptance Rule parts.

Equipment Class - Derived from Item 8(a), Form 731, and

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specifically defined under Equipment Class Definitions of the Help file.

Expiration Date - Applicable to certain types of equipment as specified in the Rules. Equipment cannot be manufactured or marketed after this date.

Frequency / Frequency Range - Equipment specifications as stated in Item 7, Form 731. Required for all applications except computing devices.

Frequency Tolerance - Equipment specifications as stated in Item 7, Form 731. Pertains only to type accepted equipment, and notified equipment regulated under the type acceptance Rule parts.

Grant Date - Date of Grant as specified on the most recent authorization issued by the FCC for the specified FCC Identifier.

Grantee Name / Address - Name and address of the applicant as it appears in Item #2 (a & b), Form 731 and as listed in the grantee name and address files in Equipment Authorization menu, Selection 2.

Note Codes - Two character codes used for referencing specific notes which apply to a grant as issued by the FCC. Notes can be referenced in the Note Code Definition file on the main menu (Selection #2).

Original Grant Date - This field was created in June, 1985. The original grant date represents the date of the last grant issued prior to June, 1985, or the date of the original grant of authorization if the application was approved after June, 1985.

Power Output - Equipment specifications as stated in Item 7, Form 731. Pertains only to type accepted equipment, and notified equipment regulated under the type acceptance Rule parts.

Receipt Date - Date of receipt of the application at the Commission's Fee Section in Washington, DC.

Related ID - FCC Identifier assigned to an application filed simultaneously with the subject application as listed in Item 10, FCC Form 731.

Rule Part - FCC Rule Part(s) under which the subject equipment will be operated.

SPECIFIC FILING INSTRUCTIONS (MAJOR CHANGE IN FILING PROCEDURES)

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Effective May 21, 1990, the Commission amended its rules and procedures by revising the Schedule of Charges (fees) now collected by the Commission. The revised fee rules were effected in a Memorandum Opinion and Order (MO&O) in Gen. Docket 86-285, adopted April 20, 1990. In addition to increasing fees for existing services, fees will be collected for services previously processed without a fee: Class II permissive changes/modifications, requests for confidentiality and a one time charge for assignment of an applicant/grantee code (for new applicants) for formulating the FCC ID. A copy of the MO&O, in Gen. Docket 86-285 may be obtained for a fee from the Commission's duplicating contractor, ITS, 2100 M Street, N.W., Suite 140, Washington, DC 20037, (202) 857-3800.

FILING APPLICATIONS AND PAYMENT OF FEES FOR EQUIPMENT APPROVAL SERVICES

Applicants are required to submit one payment instrument (check, bank draft or money order) and a FCC Form 155 (Fee Processing Form) with each Form 731 or letter request for service. If a letter request (request for grantee/applicant code or request for confidentiality) is submitted simultaneously with FCC Form 731, a single Form 155 with multiple fee type codes may be used (See Form 155 samples attached). Refer to the attached FEE GUIDE for fees and fee type codes.

Form 155 must show the correct fee type code(s) and remittance. Check, bank draft or money order must be made payable to Federal Communications Commission, denominated in U.S. dollars and drawn on a United States financial institution. Cash, foreign, postdated, altered or third-party checks are not acceptable. Form 155 must be placed on top and stapled to Form 731 and supporting documents or letter request for services. Clip, DO NOT STAPLE, check on top of Form 155.

MAIL APPLICATIONS or LETTERS TO:

Federal Communications Commission
EQUIPMENT APPROVAL SERVICES
P.O. Box 358315
Pittsburgh, PA 15251-5315

SPECIAL HANDLING FOR HAND-DELIVERY OR DELIVERY BY COURIER SERVICE:

- a. Address inner envelope with above address
- b. place in outer envelope with address below, and deliver to:

Federal Communications Commission
c/o Mellon Bank
Three Mellon Bank Center

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525 William Penn Way
27th Floor, Room 153-2713
Pittsburgh, Pennsylvania 15259-0001
Attention: Wholesale Lockbox Shift Supervisor

Dated receipts for FCC Form 731 or letter request filings will be provided for mail-in applications, provided a self-addressed, stamped envelope accompanies an extra copy of Form 731 or letter request. The copy (identified as "COPY") and envelope should be placed behind the original package. Only one piece of paper per filing will be date stamped. See Form 155 instructions for information pertaining to receipted copies of hand-delivered applications.

The function of the Mellon Bank is to collect fees only. Therefore, all inquiries concerning processing of Form 731, fees for equipment approval services and equipment approval procedures shall be addressed to:

Office of Engineering and Technology
Authorization and Evaluation Division
Equipment Authorization Branch
7435 Oakland Mills Road
Columbia, MD 21046
(301) 725-1585

Inquiries concerning fees for other types of approval services may be directed to: (202) 632-FEES or (202) 632-3337.

HOW TO OBTAIN APPLICANT/GRANTEE CODES

EFFECTIVE MAY 21, 1990, the Commission amended its fee and filing regulations in Docket 86-285. The requirement to pay a ONE time fee for assignment of an applicant/grantee code (FOR NEW APPLICANTS ONLY) is one of the new regulations. Filing instructions are listed below:

NOTE: The applicant/grantee code is assigned only to parties who will submit FCC FORM 731 for authorization of devices subject to CERTIFICATION, TYPE ACCEPTANCE, TYPE APPROVAL AND NOTIFICATION. If any OTHER FORM is required; or the equipment is NOT subject to the application procedures listed, DO NOT request assignment of an applicant/grantee code.

DATA REQUIRED BY FCC TO ASSIGN GRANTEE/APPLICANT CODE
PRIOR TO SUBMISSION OF FORM 731

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Submit a letter listing the full, legal business name and address of the party who will be identified on FCC Form 731 as the applicant, accompanied by:

- \$35.00 check, payable to Federal Communications Commission, denominated in U.S. dollars and drawn on a U.S. Financial institution.
- Complete Form 155 with Fee Type Code EAG in Box A of Section I.
- Power of Attorney letter, if request submitted by party other than applicant.
- Staple Form 155 on top of letter. Clip check (DO NOT STAPLE) to top of Form 155 and letter. Mail or deliver to address(es) below:

MAIL LETTERS TO:

Federal Communications Commission
EQUIPMENT APPROVAL SERVICES
P.O. Box 358315
Pittsburgh, PA 15251-5315

SPECIAL HANDLING FOR HAND-DELIVERY OR DELIVERY BY COURIER SERVICE:

- a. Address inner envelope with above address
- b. place in outer envelope with address below, and deliver to:

Federal Communications Commission
c/o Mellon Bank
Three Mellon Bank Center
525 William Penn Way
27th Floor, Room 153-2713
Pittsburgh, Pennsylvania 15259-0001
Attention: Wholesale Lockbox Shift Supervisor

PLEASE NOTE: The function of the Mellon Bank is to collect fees only. Therefore, all inquiries concerning processing of Form 731, fees for equipment approval services and equipment approval procedures shall be addressed to:

Office of Engineering and Technology
Equipment Authorization Branch
7435 Oakland Mills Road
Columbia, MD 21046
(301) 725-1585

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APPLYING FOR APPLICANT/GRANTEE CODE SIMULTANEOUSLY WITH FORM 731 SUBMISSION

Application for assignment of a grantee/applicant code may also be made simultaneously with the submission of FCC Form 731. However, processing of the application will be delayed, pending receipt of a revised equipment identification label. Procedures are listed below:

- Complete Form 155 with Fee Type Code EAG in Box A of Section I.
- Enter the correct Fee Type Code for the type of application being submitted in Box A(2) of Section II. Refer to Fee Guide in this PAL menu.
- Complete FCC Form 731 and attach all required data. The grantee/applicant code will be assigned from the information listed in items 2(a) and (b) of Form 731.
- Check in payment of fees (\$35.00 plus the applicable amount for the type of equipment authorization requested).
- Assemble application pack in following order: Secure Form 731 and attachments firmly to prevent separation; place Form 155 on top of Form 731 and attachments; clip (DO NOT STAPLE) check in the applicable amount on top of Form 155.
- Mail or deliver complete application pack to address(es) shown above.

OBTAINING FORMS

Copies of FCC forms may be obtained from the Commission's Services and Supply Branch, Room B-10, 1919 M Street, N.W., Washington, DC 20554. A limited quantity of forms may also be obtained from local Field Operation Bureau Field Offices (See Main Menu 4 on PAL for locations). Forms may also be reproduced. However, forms should not be reproduced in large quantities, since they are subject to revision.

The "expiration date" shown in the upper right corner of forms is an indication to the processing office that the Office of Management Bureau (OMB) approval of that edition of the form is scheduled to expire. The processing office will either revise the form or request a new expiration date. Revised forms will indicate, usually in the lower left corner, whether the current edition may continue to be used indefinitely or until a specified date; or

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whether the current edition will become obsolete upon release of the revised edition.

Listed below are those forms for which the Office of Engineering and Technology (OET) is responsible for processing, and other forms for which the Equipment Authorization Branch receives a substantial number of inquiries. Form numbers marked (*) are grants and licenses issued by the respective Offices after an application has been successfully processed; those marked (**) are processed by different offices of the Field Operations Bureau. See Main Menu 4 on PAL for local FOB Field Offices.

Processing/Issuing Office designators:
CCB, Common Carrier Bureau
OET, Office of Engineering & Technology
FOB, Field Operation Bureau

Branch designators:
DS, Domestic Services
EA, Equipment Authorization
FL, Frequency Liaison
II, Inspection & Investigation

FORM NO.	TITLE	ISSUING/PROCESSING OFFICE
442	Application for New or Modified Radio Station Authorization Under Part 5 ...	OET, FL (202) 653-8144
*450-B	Experimental Radio Station Construction Permit and License	(202) 653-8144
*450-C	Experimental Radio Station License	(202) 653-8144
450-D	Experimental Special Temporary Authority	(202) 653-8144
*450-E	Telegraphic Experimental Authorization	(202) 653-8144
*484	Grant of Registration under Part 68	CCB, DS (202) 634-1833/1371
730	Application for Registration of Equipment..	CCB, DS (202) 634-1833/1371
731	Application for Equipment Authorization	OET, EA, (301) 725-1585
*731-A	Grant of Equipment Authorization	OET, EA, (301) 725-1585
740	Statement Regarding...Importation	FOB, II, (202) 632-7278
756	Application for Commercial Radio Opr Lic	** FOB
*758	First Class Radiotelegraph Operator's Cert	** FOB

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